

REMARKS

INTRODUCTION:

In accordance with the foregoing, claims 1-3 have been canceled, and claims 4-10 have been added. No new matter is being presented, and approval and entry are respectfully requested.

Claims 4-10 are pending and under consideration. Reconsideration is respectfully requested.

NEW POWER OF ATTORNEY:

A Power of Attorney by Inventor/Applicant with Certification of Ownership, dated March 18, 2004, is enclosed. Please address all future correspondence to: Staas & Halsey LLP, USTPTO Customer No. 21,171.

CHANGES TO THE SPECIFICATION:

The specification has been reviewed in response to this Office Action. Changes have been made to the specification only to place it in preferred and better U.S. form for issuance and to resolve the Examiner's objections raised in the Office Action. No new matter has been added. A clean version and a marked-up version of the Substitute Specification are enclosed.

REJECTION UNDER 35 U.S.C. §103:

In the Office Action, at pages 2-4, numbered paragraph 3, claims 1-3 were rejected under 35 U.S.C. §103 in view of U.S. Patent No. 6,292,167 to Throup and U.S. Patent No. 6,480,300 to Aoyama. The reasons for the rejection are set forth in the Office Action and therefore not repeated. Because claims 1 -3 have been canceled, the obviousness rejection is rendered moot. Accordingly, withdrawal of the rejection is requested. However, consideration of new claims 4-10 is requested and considered patentable over the prior art of record.

The present invention is directed to methods and computer products for creating a defocused image from an input image. As recited in newly added claim 4 for the present invention, one pixel data in the input image is selected and converted from one scale, such as a logarithmic scale, to a linear scale. This conversion process generates light data having some light amount, which is then equally allocated to the pixels surrounded by an aperture having a predetermined shape, generating a defocused disk image. In this process, each light data, when spread over the defocused disk, is substantially equal and the total light amount of the

defocused disk image is equal to the light amount of the light data, as shown in FIG. 3. If the total light amount of the defocused disk image is not equal to the light amount of the light data, the defocused image may not appear realistic. These processes are then repeated for all pixel data included in the input image, and a defocused image is created. In applying this method, an operator can easily generate a natural, realistic defocused image from an existing image, without consuming computer resources or burdening the computer system.

Throup teaches a method of creating a defocused image by filtering the pixel data of input images. The Examiner asserted that the array of coefficients in the filter corresponds to the aperture of the present invention. Further, the Examiner asserted that one target pixel data is spread over pixels covered by the filter. Based on the description of the sum of the coefficients provided in col. 8, lines 50-53 of Throup, it is submitted that the total amount of data value before filtering is equal to the value after filtering.

Aoyama teaches a conversion of data from a logarithmic scale to a linear scale, where the data after conversion includes a certain light amount.

Both Throup and Aoyama are, however, completely silent on allocating the light data to pixels surrounded by an aperture which has a predetermined shape to generate a defocused disk image so that "each of a set of the allocated light data is substantially equal to each other."

Thus, even if Throup and Aoyama were combined, this combination would not teach or suggest the invention recited in independent claim 4. More specifically, even if light data generated by converting pixel data from the logarithmic scale to the linear scale were filtered with the array of coefficients shown in FIG. 4 of Throup, it would not result in each of a set of allocated light data being equal to each other after being filtered. This would not occur because Throup neither teaches nor suggests setting up each filter coefficient to be equal.

As claims 5-7 depend from claim 4, Applicant respectfully submits that these claims are also patentable over the cited references for at least the same reasons noted above for claim 4 as well as for the additional features recited therein.

Independent claim 8 is similar to independent claim 4. In claim 8, however, the size of a defocused disk image is determined based on distance information of the selected pixel data. Based on the size of the defocused disk image, light data is allocated to pixels surrounded by an aperture, which has a predetermined shape to generate the defocused disk image. The total light amount of the defocused disk image is then equal to the light amount of said light data. The user is provided with a natural and realistic defocused image because the size of a

defocused disk is determined in response to distance information of a target pixel. This reduces the need for computing resources, and lessens the burden to computing systems.

Additionally, neither Throup nor Aoyama teach or suggest that the size of a defocused disk can be varied, or that the size is determined based on the distance information of the target pixel. Thus, even if Throup and Aoyama were combined, the method would only generate the same size defocused disk for all pixels, creating an image that is both less natural and less realistic than that of the present invention, as recited in independent claim 8.

As claims 9 and 10 are relevant to computer program products with features similar to those of independent claims 4 and 8, Applicant respectfully submits that these claims are also patentable over the prior art.

CONCLUSION:

In accordance with the foregoing, it is respectfully submitted that all outstanding objections and rejections have been overcome and/or rendered moot. And further, that all pending claims patentably distinguish over the prior art. Thus, there being no further outstanding objections or rejections, the application is submitted as being in condition for allowance which action is earnestly solicited.

If the Examiner has any remaining issues to be addressed, it is believed that prosecution can be expedited by the Examiner contacting the undersigned attorney for a telephone interview to discuss resolution of such issues.

If there are any underpayments or overpayments of fees associated with the filing of this Amendment, please charge and/or credit the same to our Deposit Account No. 19-3935.

Respectfully submitted,

STAAS & HALSEY LLP

Date: March 26, 2004

By: 

David M. Pitcher
Registration No. 25,908

1201 New York Avenue, N.W.
Suite 700
Washington, D.C. 20005
Telephone: (202) 434-1500
Facsimile: (202) 434-1501



TITLE OF THE INVENTION

PROCESS FOR MAKING IMAGES DEFOCUSED

CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] Not applicable.

RECEIVED

MAR 30 2004

BACKGROUND OF THE INVENTION

Technology Center 2600

1. Field of the Invention

[0002] This invention relates to a software process which ~~makes an existing two-dimensional image defocused in order to create or increase the sense of field depth~~creates or increases the sense of field depth of an existing two-dimensional image by defocusing the image.

2. Description of the Related Art

[0003] Producing a realistic two-dimensional image has been one of the important fields of image processing. An image which is in good focus is an important part of reality, but having the image in good focus is not enough. When looking at a two-dimensional image, an object which was originally a three-dimensional object often looks realistic because surrounding objects are blurred or out of focus, creating a sense of field depth~~On a two-dimensional image, good feeling of seeing an object, which is originally a three-dimensional object, often comes from other blurred objects that are out of focus giving a sense of field depth. In other word, if everything seen is in focus, the image would look unrealistic. Unrealistic images can occur in two situations~~There are two cases when unrealistic images can occur. First, unrealistic images occur~~One is when a computer generates images through three-dimensional rendering, and where there is no information on camera optics is available. Without camera optics, objects can not~~cannot be defocused and realistic images can not~~cannot be constructed. A second situation in which unrealistic images occur~~Another is when the camera optics has very deep focal depth of the camera is very deep, as is often seen with recent digital cameras because the~~the optics of these cameras have very short focal length. All areas of an image produced by digital cameras are often in focus, resulting in very poor sense of field depth~~field depth.

[0004] Light ray tracing is the straight approach to defocus images for computer generated three-dimensional objects. There have been several such works or inventions, including U.S.

Pat. No. 5,986,659 to Gallery et al., U.S. Pat. No. 6,028,606 to Kolb et al., and U.S. Pat. No. 4,731,864 to Modla. These are based on a light-ray tracing process that simulates light rays emitted from an object and passing through a camera lens, aperture (sometimes called stop or iris), shutter, and films. In such a ray tracing process, as well as in actual optics, if a very small spot such as a star becomes defocused, it just looks like a disk over which the original amount of light is uniformly distributed. The shape of the disk is identical to the shape of a camera aperture. The original spot is defocused to a disk with its edge sharply defined. This disk may be called a defocused disk. The size of the disk depends on the distance between an emitted point and the camera optics. If the image is more focused, the size of the disk becomes smaller. A defocused image is a summation of the defocused disks from all points or pixels. Often, the defocused disks from bright points remain seen as disks after the summation, giving a good sense of field depth. These simulations reproduce such defocused disks, although their processes are very detailed and precise, and therefore costing a significant amount of computing time ~~very much~~. Such disks They are valuable to study or design a camera or an optical system, but extravagant in giving providing a good sense of field depth to the human ~~[[eyes]]~~ eye.

[0005] For the images taken with a digital camera or images taken with deep focal depth, people sometimes try to use existing software products to improve the sense of field depth. None of them, however, reproduce the defocused disks essential for sensing field depth, as mentioned above. In these products, such as Adobe System Inc.'s Photoshop or Jasc Software Inc.'s Paint Shop Pro, defocusing images is often misunderstood as Gaussian blurring or other types of blurring. The Gaussian blurring distributes light according to Gaussian distribution and does not produce the ~~focused~~ defocused disks.

[0006] To make ~~matter~~ matters worse, these products apply their numerical operation on a pixel value itself, not on the amount of light. This is a very serious misunderstanding in this field. The value stored in a pixel of an image corresponds to the density of photographic films or to the sensitivity of human eyes, both of which are the amount of light not in linear scale, but in logarithmic scale. Actual On the contrary, actual physical process requires processes, on the contrary, require operation on the amount of light in linear scale. One photon plus one photon is two photons and one unit of light-energy plus one unit of light-energy is two units of light-energy. Thus, ~~[[If]]~~ if the scale is ~~[[in]]~~ logarithmic ~~[[scale]]~~, the result is indeed invalid. Since ~~the~~ the defocusing-operation of the straight approach is applied directly to a pixel value, the results are invalidly and unrealistically produced defocused images. The film or human eyes have a limited

dynamic range for a large amount of light. These situations become most apparent when the characteristics of films or human eyes are correctly handled. If the amount of light is very large, saturation in sensitivity occurs, and the light appears just white in films or eyes. Consider a datum in a pixel for bright light, for example, such as 253 or 254 in eight-bit range (0 to 255). The difference of just one digit in this brightest range may amount to ten times or more difference in the amount of light (energy or number of photons) in the real world. If a software process does not account for the real ~~mount~~amount of light and ~~create~~creates a defocused image, the effect is completely underestimated, resulting in a very unrealistic look. In such a case, a bright spot in an image spreads only a slightly brighter blur to surrounding pixels. A bright point in ~~[[an]]~~a realistic image should spread as a sparkling defocused disk to surrounding pixels as actually seen in real photography.

[0007] All the software processes heretofore known suffer from ~~one(s)~~one or more of the following disadvantages:

(1) Light-ray tracing software costs computing time.

(2) Existing image-processing products do not produce correct defocused images. They ~~can not~~cannot produce the defocused disks which are the essential part of defocused images as actually seen in the real world.

(3) Existing image-processing products do not treat the amount of light correctly. The summation of amount of light should be taken in linear space, not in logarithmic scale.

SUMMARY OF THE INVENTION

[0008] In accordance with the present invention, a software process comprises converting an amount of light in logarithmic scale to linear scale if necessary, spreading light into a shape of aperture ~~creating~~to create defocused disks, summation of the defocused disks from all pixels, and converting the amount of light back to logarithmic scale.

Objects and ~~Advantage~~Advantages

[0009] Several objects and advantages of the present invention are~~[[:]]~~:

(a) to provide a software process which can produce realistic defocused images without tracing light-rays to reduce computing time;

(b) to provide a software process which can produce appealing defocused images by directly producing the defocused disks that are the most important part defocused images; and

(c) to provide a software process which can produce realistic defocused images by treating the amount of light correctly in its process.

[0010] Further objects and advantages are to provide a software process which allows to change the shape of aperture (sometimes called stop or iris) to emulate actual apertures in existing cameras for special effects ~~he/she wants to create~~that may be desired, to provide a software process which allows the effect of color aberration by having color-dependent aperture information, and to provide a software process which allows to ~~modify~~modification of the transformation characteristic between logarithmic pixel datum and linear amount of light for creating ~~[[more]]~~additional artistic effects ~~he/she wants~~.

BRIEF DESCRIPTION OF THE DRAWINGS

[0011] In the drawings, closely related figures have the same number₁ but different alphabetic suffixes.

FIG. 1 is a block diagram of this invention.

FIG. 2 shows the transformation curves between a pixel datum₁ which is ~~[[in]]~~logarithmic₁ and amount of light₁ which is in linear scale.

FIGS. 3A and 3B illustrate how a pixel datum in an original image is defocused or spread over neighbor pixels in the case of a pentagonal aperture.

FIGS. 4A₁ ~~[[to]]~~4B₁ and 4C show ~~an example~~examples of an image before this software process, as a result of this process with a pentagonal aperture, and as a result of "Gaussian Blur," respectively. The images are arranged for comparison purposes~~result of this software process and comparison to the results with one of existing software products.~~

Reference Numerals in Drawings

~~1-12 memory for output image 14 aperture information 16 input image 18 pixel loop 20 scale conversion 22 make defocused disk 24 summation of disks 26 scale conversion 28 defocused image 30 characteristics curve of film 32-38 example curves 40 pixel datum 42 shape of aperture 44 defocused disk~~

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

FIG. 1--Block Diagram

[0012] FIG. 1 shows a block diagram of ~~[[this]]the present~~ invention. In computer memory, the process stores three sets of input data, an original color image 16, ~~a shape of aperture data~~ 14, and an empty color image 12 to be used for a resulting final defocused image 28. The original color image 16 may be stored as a file on a computer or transmitted from elsewhere to the computer. ~~Then the~~The image 16 is then read into an area of computer memory and may be shown on a computer display. The image 16 consists of data for each color and optionally may carry distance information for each pixel. The aperture shapeinformation 14 may also be a file on the computer, or transmitted from elsewhere to the computer, ~~thenand~~ is then read into an area of computer memory. The aperture datainformation 14 could be a bitmap image of arbitrary size with a number of pixels large enough for calculating defocused disks, or may be vector data or mathematic representation alternatively used for calculating defocused disks. The empty color image 12 is an area of memory on the computer whose value is set to be zero, to which defocused disks are added on to make a final defocused image 28.

[0013] A box 20, labeled as "convert pixel scale", represents a conversion of the scale of pixel data to linear scale corresponding to correct amount of light. The scale of pixel data in the image 16 is typically in logarithmic scale in terms of amount of light, or may be some similar scale to logarithmic. The pixel data may be density recorded in photographic films or digitized data in a digital camera, where the scaling is similar to that of photographic films. The relation of the two scales, often called a characteristic curve or transformation function, ~~[[are]]is~~ shown in FIG. 2 and described in the next section.

[0014] A defocused image is created by spreading light-data over surrounding pixels according to the shape of a given aperture, provided in aperture information 14. This takes place in a box 22, labeled "calculate defocused disk". The total amount of light in box 22 is conserved but equally distributed to an area determined by a given aperture shape 14.

[0015] A box 24, "add defocused disk" denotes that each defocused disk, which is produced from each original pixel, is co-added to a resulting image 12.

[0016] After defocused disks from the all pixels are co-added, the resulting image is complete but the scale of pixel value is in linear scale (amount of light) which is not suitable for viewing

with human eyes. A box 26, "restore pixel scale", ~~converts~~converts the linear pixel scale to original logarithmic scale inversely, using the characteristic curve described in FIG. 2. The final defocused image 28 may be displayed on a computer display by transferring the data to image memory, stored as a data file on an external storage, or directly transferred to other locations over a network.

FIG. 2--~~Characteristics~~Characteristic Curve

[0017] Typical relations between a pixel datum and amount of light are shown as curves in FIG. 2, often called ~~characteristics~~characteristic curves. Pixel datum is plotted against amount of light for some typical relations in FIG. 2. The value of a pixel datum is expressed with eight bits ranging from 0 to 255 for each color, which is very typical in a computer. The axis for amount of light is in logarithmic scale. The unit of amount of light here is arbitrary. It is a multiple of physical energy or number of photons.

[0018] The thick solid curve 30 in ~~the figure~~ FIG.2 is one of the ~~characteristics~~characteristic curves of actual photographic films provided by Fuji Photo Film, Inc. In this case, the pixel datum represents the image density on the film. The middle part of the curve 30 is nearly straight, indicating the pixel datum is approximately in logarithmic scale in terms of amount of light. The curve 30 in detail, however, does not fit within the range of eight bits as shown in ~~the figure~~ FIG.2, where the curve 30 goes slightly below 0 or above 255. The most important of the feature in the film characteristics is its behavior for a very small amount of light and ~~that for a~~ very large amount of light. The density does not become exactly zero easily for low light levellevels, as seen in the lower left part of the curve running nearly horizontally to the left. At high light levellevels, the density does not become completely opaque easily, as seen in the upper right part of the curve running nearly horizontally to the right. The laterlatter behavior is very important to create a realistic image in and out of focus. When an image is in focus, a bright spot in the image occupies very small area, but in terms of amount of light, it can have 10 to 100 times more than surrounding pixels. When the image becomes defocused, this large amount of light on this spot spreads over the surrounding pixel, resulting in significant effects. This is one of the reasons why existing software products are incapable of creating realistic defocused images. They do not convert pixel data to amount of light; therefore the effect of a bright spot is completely under-estimatedunderestimated. Even if the data come from a digital camera, this conversion is necessary because manufactures of digital cameras often use similar characteristic curves [[as]]similar to those of photographic films. The~~Other~~ other curves in ~~the~~

figure FIG. 2, thin solid line 39, dotted line 38, dash-dotted line 34 and two-dash dotted line 32, represent exaggerated examples where ~~he/she~~ the user wants to create more artistic effects than actual physical process.

FIGS. 3A-3B--Defocused Disk

[0019] The most important part of defocused image, visually, is a defocused disk. After a pixel datum is converted to a linear amount of light, it is spread to surrounding pixels within the area whose shape is determined from a shape of a given aperture, with total amount of light conserved. Such a case for a pentagon aperture 42 is illustrated in FIGS. 3A and 3B. The height of each column represents amount of light. Initial datum in a pixel is illustrated in ~~FIGS.~~ FIG. 3A as a single column 40. ~~Through our~~ Throughout the software process, the amount of light is spread to surrounding pixels as shown in FIG. 3B, where the shape of assumed aperture 42 is pentagonal. All of the spread pixels have the same height that is equal to the original height of 40 divided by the number of pixels in 44. ~~We call a~~ A resulting spread area is called a defocused disk. The size of a defocused disk is determined by a user, depending on his/her needs, or by the distance information associated with a given pixel. Although FIGS. 3A and 3B show illustrations for a single initial pixel, this process is applied to all pixels in an image. A defocused image is the summation of all of the defocused disks.

FIGS. 4A-4C--Sample Image and Comparison

[0020] Sample results of this invention are shown in FIG. 4B with a comparison to one of existing software products in FIG. 4C. FIG. 4A is an image before applying this software process. The image is printed in black and white for application ~~purpose~~ purposes, but was originally printed in color. FIG. 4B is a resulting image after this software process with a pentagon aperture, while FIG. 4C is the image created by application of the "Gaussian blur" feature of Adobe Systems Inc.'s Photoshop, which is the most popular product used for processing digital images. ~~[[A]]~~ The most striking difference between the images is that defocused disks are seen in FIG. 4B, but not ~~seen~~ in FIG. 4C. The Gaussian blur simply blurs a pixel according to a Gaussian distribution, which is not what actually happens in real optics. ~~Instead~~ In optics, a defocused image is produced by defocused disks determined by an aperture, which is sometimes called an iris or stop in optics. That is what is seen in FIG. 4B. Another feature seen in FIG. 4B is that these defocused disks are very bright and sparkling. This is because this invention correctly computes the amount of light to be spread by converting pixel

data to amount of light in linear scale.

AdvantageAdvantages

[0021] From the description above, a number of advantages of ~~my~~the software process for defocused images become evident:

(a) The direct use of an aperture shape for a defocused disk will reduce the amount of computing time ~~largely~~substantially compared to existing light ray tracing software processes. The reduction of computing time will permit casual use of ~~[[my]]~~this software process among many end-users who own only limited computer resources.

(b) The ability ~~of changing~~to change aperture shapes enables artistic visual effects in defocused images, depending on ~~his/her~~the artistic taste of the user, since ~~[[my]]~~the software process is not based on ray tracing of existing camera optics. A user can change an aperture shape to whatever shape he/she likes without significantly increasing computing time ~~significantly~~, which would be required in the case of the ray tracing software process for a complex shape.

(c) The use of a different aperture shape for each color can mimic a color aberration of optical lenses without increasing computing time, as in the case of ray tracing. This can be very useful for creating an artistic effect appealing to human eyes.

(d) The conversion of pixel data in logarithmic scale to linear scale before computing defocused disks can provide realistic visual effects of bright sparkling defocused disks, which are an essential part of a defocused image but completely missing in the prior software processes.

~~[[d]]~~(e) Modification of~~The modifying~~ the scale conversion in the previous advantage will permit an effect which does not exist in the real world, but is very useful for ~~[[an]]~~ artistic expression.

Operation

[0022] This software process first allocates memory for three types of images, an input image 16 to be defocused, aperture information 14, and an output image 12. The allocation can be done in a conventional way on a computer. The input image data 16 may reside on a data-

storage device such as a hard disk or data tape, or elsewhere on the network. The input image data can be ~~black & white~~black-and-white data or color data. The input image data may be a result of scanning a photographic film, digital data already stored on a digital camera, or a result of software image generation. The data is then read into the memory for further processing by the computer. The contents of memory allocated for the output image 12 are all set to be zero at the beginning. The aperture information 14 can be either a bitmap image similar to the input image 14 or a mathematical formula representing [[its]]the shape of the aperture. The aperture information 14 can be different for each color if a user wants to create an effect similar to the color aberration.

[0023] At the box 18 labeled "loop over all pixels" 48-in FIG. 1, the process initiates a loop operation over the pixels of the input image. In the loop, each pixel is processed and a defocused disk is added to the output image until all the pixels are processed. The scale of datum in each pixel of the input image is converted linear scale at box 20. The scale of input pixel data is often in logarithmic scale or a scale very similar to that. The conversion shown in the box labeled 20 is always necessary for scanned data and data from a digital camera. If the pixel data [[come]]comes from a computer-generated image, such as a result from three-dimensional rendering, the scale of the pixel data may be linear in terms of amount of light. In this case, the conversion shown in box 20 may be skipped. The conversion is done using the ~~characteristics~~characteristic curve whose examples are shown in FIG. 2. The nominal choice of the characteristic curve is the curve 30 for photographic film, but this may be altered or changed depending on ~~his/her~~ artistic taste.

[0024] After the scale conversion at box 20, the data is uniformly distributed to a defocused disk at a box 22 as illustrated in FIGS. 3A and 3B. The shape of a defocused disk is determined from the aperture information 14. The size of a defocused disk is determined by a user or it can be computed from distance information attached to the pixel. Based on ~~this~~the shape and size, the pixel addresses of a defocused disk in the output image 12 are determined. Each pixel of a defocused disk has [[the]]a value equal to the converted linear value of an input pixel divided by the number of pixels that a defocused disk occupies. Each pixel value of a focused disk is added to a corresponding address of the output image 12. Thus, a defocused disk is calculated for each input pixel and added to a memory area of the output image.

[0025] The process then goes to a next input pixel, converts it to linear scale, calculates a defocused disk, and adds the disk data to the output image. The process[[It]] repeats this loop

until all input pixels are processed. _At this point, the resulting defocused image still has all of data in linear scale. _At the box marked "restore pixel scale" 26, the scale of the pixel data in the output image is converted back to logarithmic-like scale using the same characteristic curve at box 20 in the reverse way. _The final defocused image may be displayed on a computer screen, saved as an image file on a data storage, or transferred to ~~other~~ another location over a network.

Conclusion, Ramifications, and Scope

[0026] Accordingly, the ~~reader will see that the~~ software process of this invention can be used to create realistic defocused images without using a large amount of computing time. _A defocused image created by this process correctly has defocused disks actually seen in real ~~photograph~~ photographs. _These disks are beautifully seen for a bright spot creating a realistic look of a defocused image and giving a good sense of field depth. ~~The reader will see~~ It can be seen that this software process is useful to increase or restore the field depth of images taken with recent digital cameras with ~~[[a]]~~affordable computer resources.

[0027] Furthermore, the software process has ~~the~~ an additional advantage in that;

it permits control on the aperture shape and size;

it permits modification or altering of the characteristic curve; and

it permits emulation of color aberration~~[[;]]~~.

[0028] Although the description above contains many specifications, these should not be constructed as limiting the scope of the invention but as merely providing illustrations of some of the presently preferred embodiments of this invention.

[0029] Thus, the scope of the invention should be determined by the appended claims and their legal equivalents, rather than by the example given.